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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/826,883	04/16/2004	Robert E. Smith III	221-0078US

CONFIRMATION NO. 1438

**FORMALITIES LETTER**



\*OC000000013084082\*

29855  
WONG, CABELLO, LUTSCH, RUTHERFORD & BRUCCULERI,  
P.C.  
20333 SH 249  
SUITE 600  
HOUSTON, TX 77070

Date Mailed: 06/28/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

08/10/2004 WAB/DELRI 00000021 501922 10826883  
01 FC:2051 65.00 DA

*A copy of this notice MUST be returned with the reply.*

TH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**221-0078US**

In Re Application Of: **ROBERT E. SMITH, III**

Serial No.  
**0826,883**

Filing Date  
**APRIL 16, 2004**

Examiner  
**N/A**

Group Art Unit  
**3753**

Invention:

**JUNCTION PLATE FOR SUBSEA HYDRAULIC COUPLINGS**

Mail Stop Missing Parts

TO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**JUNE 28, 2004**  
*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

- **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**  
- **ASSIGNMENT & RECORDATION FORM**

Docket No.  
**221-0078US**

Serial No.  
10/826.883

Filing Date  
**APRIL 16, 2004**

Examiner  
N/A

Group Art Unit  
3753

## JUNCTION PLATE FOR SUBSEA HYDRAULIC COUPLINGS

## Mail Stop Missing Parts

- ☒ Completion of application fees as calculated below:

- |   |                             |
|---|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee  | <u>\$385.00</u>             |
| <input type="checkbox"/> Design application filing fee  | <u>                    </u> |
| <input checked="" type="checkbox"/> Total number of independent claims = <u>3</u>   | <u>                    </u> |
| <input checked="" type="checkbox"/> Total number of claims = <u>18</u>  | <u>                    </u> |
| <input type="checkbox"/> Multiple dependent claims  | <u>                    </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u>              |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | <u>                    </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | <u>                    </u> |
| <input type="checkbox"/> Fee for processing and retention of application  | <u>                    </u> |

**Total completion of application fees \$450.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ Date \_\_\_\_\_ until: \_\_\_\_\_ Date \_\_\_\_\_

Total time extension fees

**Total fees due                      \$450.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**221-0078US**

In Re Application Of: **ROBERT E. SMITH, III**

Serial No.  
**10/826,883**

Filing Date  
**APRIL 16, 2004**

Examiner  
**N/A**

Group Art Unit  
**3753**

Invention:

**JUNCTION PLATE FOR SUBSEA HYDRAULIC COUPLINGS**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of **\$450.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **501922**
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **501922**

  
*Signature*

Dated: **August 4, 2004**

**Christopher D. Keirs  
Registration No.: 32,248  
Wong, Cabello, Lutsch, Rutherford  
& Brucculeri, P.C.  
State Highway 249, Suite 600  
Houston, Texas 77070  
Voice: 832/446-2406  
Facsimile: 832/446-2424**

I certify that this document and fee is being deposited on **August 4, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

**Rebecca R. Ginn**

*Typed or Printed Name of Person Mailing Correspondence*

CC: